

PTO-1390  <b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		ATTORNEY DOCKET NUMBER 8009-84234 U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/522,908
INTERNATIONAL APPLICATION NO. PCT/EP03/07698	INTERNATIONAL FILING DATE July 16, 2003	PRIORITY DATE CLAIMED July 30, 2002
TITLE OF INVENTION GENERATOR/MOTOR SYSTEM AND METHOD FOR OPERATING THIS GENERATOR/MOTOR SYSTEM		
APPLICANT(S) FOR DO/EO/US Roland BLÜMEL		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li>1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. Please do not delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li>4. <input type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)).             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> <p><b>Items 11. to 20. below concern document(s) or information included:</b></p> <ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input type="checkbox"/> A preliminary amendment.</li> <li>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>20. <input checked="" type="checkbox"/> Other items or information: return receipt postcard</li> </ol>		

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/522,908		INTERNATIONAL APPLICATION NO. PCT/EP03/07698		ATTORNEY DOCKET NUMBER 8009-84234	
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21. The following fees are submitted:						Applicant use	Office use only
<input checked="" type="checkbox"/>	a) Basic national fee .....	\$300.00				\$ 0.00	
<input checked="" type="checkbox"/>	b) Examination fee .....	\$200.00				\$ 0.00	
<input checked="" type="checkbox"/>	c) Search fee .....	\$500.00				\$ 0.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>						\$ 0.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof			RATE		
14 - 100 =	0 / 50 =	0		x	\$250.00	\$ 0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).						\$	
CLAIMS	NUMBER FILED		NUMBER EXTRA		RATE		
Total claims	9	- 20 =	0	x	\$50.00	\$ 0.00	
Independent Claims	1	- 3 =	-2	x	\$200.00	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)					\$360.00	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>						\$ 0.00	
Applicant(s) assert entitlement to Small Entity Status (37 CFR 1.27), thus reducing the filing fee by half to:						\$ 0.00	
<b>SUBTOTAL =</b>						\$ 0.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						\$ 0.00	
<b>TOTAL NATIONAL FEE =</b>						\$ 0.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.						\$ 0.00	
<b>TOTAL FEES ENCLOSED =</b>						\$ 0.00	
AMOUNT TO BE:						Refunded	\$
						Charged	\$

  

a. ☐ A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 06-1135 in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1135. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

  

SEND ALL CORRESPONDENCE TO:  FITCH, EVEN, TABIN & FLANNERY 1801 K Street NW, Suite 401L Washington, DC 20006-1301 - U.S.A. Telephone: (202) 419-7000 Facsimile: (202) 419-7007	<div style="text-align: center;">             SIGNATURE            Norman N. Kunitz            NAME            20,586            REGISTRATION NUMBER         </div> <div style="text-align: center; margin-top: 20px;"> <u>Aug. 1, 2005</u>            DATE         </div>
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

### New National Stage Application

Applicant	:	Roland BLÜMEL
International Application No.	:	PCT/EP03/07698
International Filing Date	:	July 16, 2003
For	:	GENERATOR/MOTOR SYSTEM AND METHOD FOR OPERATING THIS GENERATOR/MOTOR SYSTEM
National Stage Application No.	:	10/522,908
National Stage Filing Date	:	January 31, 2005
TC/A.U.	:	To Be Assigned
Examiner	:	To Be Assigned
Docket No.	:	8009-84234
Customer No.	:	42798

Commissioner for Patents  
U.S. Patent and Trademark Office  
Randolph Building  
401 Dulany Street, **MS PCT**  
Alexandria, VA 22314

August 1, 2005

### INFORMATION DISCLOSURE STATEMENT

Sir:

This is an Information Disclosure Statement filed in accordance with 37 CFR 1.98(c).

Attached is a Form PTO/SB/08 identifying each of the four references cited in the International Search Report issued by the European Patent Office on November 21, 2003 in connection with the above-identified International Application.

No copy of any of these identified references is enclosed since copies are presumed to already be in the file due to having been forwarded by the European Patent Office as a result of an existing treaty. However, if no such copies of the references are in the file and a copy of one or more of these references is desired,

please request a copy of the desired reference from undersigned counsel and it will be supplied.

Attached Form PTO/SB/08 also identifies British reference GB 1,342,930 cited in an Office Action issued by the German Patent Office on May 22, 2003 regarding German Priority Application No. 102 34 594.5. Copies of both the German Office Action and the cited reference are attached. No English translation of the German Office Action is presently readily available.

Lastly, attached Form PTO/SB/08 also identifies three references cited and discussed in the Background section of the present application. A copy of each of these three cited references and an English-language abstract of the cited German patent application are attached.

Since all requirements for the filing of Information Disclosure Statements have been complied with, it is requested that the Examiner consider all of the identified references and indicate such consideration by enclosing a properly initialed copy of the enclosed Form PTO/SB/08 with the initial Office Action.

Respectfully submitted,

FITCH, EVEN, TABIN & FLANNERY

By: \_\_\_\_\_

  
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PTO/SB/08a Substitute for Form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>			Application Number		10/522,908
			Filing Date		January 31, 2005
			First Named Inventor		Roland BLÜMEL
			Art Unit		To Be Assigned
			Examiner Name		To Be Assigned
Sheet	1	of	2	Attorney Docket	8009-84234

U.S. PATENT DOCUMENTS					
Examine r Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup>			
		US-4,024,456	05-17-1977	Sato et al.	
		US-			
		US-			
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FOREIGN PATENT DOCUMENTS					
Examine r Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup>			
		DE-21 18 703 A	11-04-1971	Nippondenso K.K.	
		GB-1 342 930	01-09-1974	Lawrence John Berman	
		DE-34 32 127 A	03-21-1985	Mitsubishi Electric	
		JP-05 122997 A	05-18-1993	Hitachi Ltd.	
		DE-199 31 010 A1	11-23-2000	DaimlerChrysler AG	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

